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Financing of the United Nations Mission in Haiti

Report of the Secretary-General

Summary

The mandate of the United Nations Mission in Haiti (UNMIH) was terminated on 30 June 1996 in accordance with Security Council resolution 1048 (1996) of 29 February 1996. The present report contains the financial performance report of UNMIH for the liquidation period from 1 to 31 July 1996. A total of $1,197,100 gross ($1,185,800 net) was appropriated by the General Assembly for the same period. The related expenditures amount to $1,159,000 gross and net, resulting in an unencumbered balance of $38,100 gross ($26,800 net).

The unencumbered balance was attributable to a lower number of contingent personnel, the charging of some expenditures to the United Nations Support Mission in Haiti (UNSMIH) rather than to UNMIH and the non-rental of heavy-duty vehicles.

The action to be taken by the General Assembly at its fifty-second session in connection with the financing of UNMIH is a decision on the treatment of the unencumbered balance of $38,100 gross ($26,800 net) with respect to the liquidation period from 1 to 31 July 1996.

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I. Introduction

1. The mandate of the United Nations Mission in Haiti (UNMIH) was terminated on 30 June 1996 in accordance with Security Council resolution 1048 (1996) of 29 February 1996.

2. The General Assembly, by its resolution 50/90 B of 7 June 1996, appropriated the amount of $15,897,900 gross ($15,440,300 net) for the liquidation of the Mission beginning 1 July 1996, inclusive of the amount of $377,400 for the support account for peacekeeping operations. On 28 June 1996, the Security Council established the United Nations Support Mission in Haiti (UNSMIH). Subsequently, the General Assembly, by its resolution 51/14 A of 4 November 1996, reduced the appropriation and assessment provided in its resolution 50/90 B to the amount of $1,197,100 gross ($1,185,800 net), inclusive of the amount of $377,400 for the support account for peacekeeping operations.

II. Financial performance report for the liquidation of the United Nations Mission in Haiti from 1 to 31 July 1996

3. Annex I to the present report sets out in column 1 the revised cost estimates for the month of July 1996 by budget line item, as contained in annex I to the Secretary-General's report of 30 August 1996 (A/50/363/Add.4). The apportionment of the resources provided by the General Assembly in its resolution 51/14 A is indicated in column 2. Non-recurrent and recurrent expenditures are shown in columns 3 and 4, respectively, while total expenditures are given in column 5. The unliquidated obligations are shown in column 6 and the variances to the cost estimates are indicated in column 7. Supplementary information in respect of the expenditures is contained in annex II. The planned and actual deployment of military and civilian personnel is contained in annex III.

4. Expenditures totalled $1,159,000 gross and net, resulting in an unencumbered balance of $38,100 gross ($26,800 net). The unencumbered balance resulted from a lower average troop strength, the recording of expenditures for international staff salaries against the UNSMIH accounts and the non-rental of heavy-duty vehicles.

5. The expenditures include obligations in the amount of $22,000, which relate to the transport of contingent-owned equipment during the previous financial period.

III. Action taken pursuant to General Assembly resolution 51/14 B

6. In paragraph 6 of its resolution 51/14 B of 13 June 1997, the General Assembly expressed its concern about problems with procurement practices and assets management in the Mission as pointed out in the reports of the Board of Auditors,[1] the Office of Internal Oversight Services (A/51/432) and the Advisory Committee on Administrative and Budgetary Questions (A/51/861) and requested the Secretary-General to report to the Assembly at its fifty-second session on the measures taken to address the problems raised in those reports. The issues raised in each report are addressed below.

A. Reports of the Board of Auditors and the Office of Internal Oversight Services

7. In paragraph 281 of its report, the Board of Auditors recommended that the Mission be required to plan its purchase requirements to facilitate bulk purchasing through competitive bidding and where the competitive bidding process has been waived, the reasons for the waiver should be documented in accordance with the appropriate Financial Rule. It was also stated in paragraph 27 of the report of the Office of Internal Oversight Services that an audit of UNMIH had found inadequacies in procurement planning and the procurement processes. The audit disclosed that although procurement action was initiated in advance of the transition from the Multinational Force to UNMIH, there were avoidable delays in establishing the ration and service contracts. The Office of Internal Oversight Services suggested that in order to avoid such costly delays, a clear time-frame should be established for concluding essential contracts with accountability for adherence. Alternatively, standing ration and service contracts could be negotiated in advance of a mission start-up.

8. In view of the above recommendations, improvements were made in the Mission's procurement planning in 1996. In February of that year, UNMIH management circulated a list to requisitioners setting out purchases made in the previous six months, grouped by commodity. This was intended to facilitate long-term planning by consolidating requirements. As a result of this initiative, competitive bulk buys and associated economies of scale were achieved. In some cases, blanket purchase agreements were established that reduced the number of bids to be processed. Furthermore, managers insisted that all purchases, including those which were urgent, include a statement explaining the basis of the contract award. This ensured that individuals focused on the implication of their actions.

B. Report of the Advisory Committee on Administrative and Budgetary Questions

9. In paragraph 5 of its report (A/51/861), the Advisory Committee noted with concern that expenditures for logistics support services were incurred by the United Nations before the contracts were submitted to bid. The Advisory Committee recommended that the Secretary-General strengthen the mission planning capacity of the United Nations for prompt and accurate identification of mission needs so that required logistics support services could be quickly and reliably identified and costed in order to determine what services could be contracted out and which could be performed without being submitted to bid.

10. With regard to the foregoing, the Secretariat's mission planning capacity has been strengthened since planning for the original UNMIH mission was completed in 1995. The Secretariat's planning for future missions is now an integrated process combining both operational planning conducted by the Mission Planning Service and logistics planning by the Future Operations Unit of the Logistics and Communication Service, Field Administration and Logistics Division of the Department of Peacekeeping Operations. Planning procedures were further strengthened with the development and issuance of a draft of the Survey Mission Handbook by the Department in July 1997. The handbook establishes procedures and checklists for use by operational and logistics planning personnel during the technical survey missions for possible future deployments. These developments provide for the prompt and accurate identification of a future mission's needs early in the mission planning process and allows for logistics support services to be costed more reliably.

IV. Action to be taken by the General Assembly at its fifty-second session

11. The action to be taken by the General Assembly at its fifty-second session in connection with the financing of UNMIH is a decision on the treatment of the unencumbered balance of $38,100 gross ($26,800 net) with respect to the liquidation period from 1 to 31 July 1996.

Notes

[1] *Official Records of the General Assembly, Fifty-first Session, Supplement No. 5* (A/51/5), vol. II.

Annex I

Financial performance report for the liquidation of the United Nations Mission in Haiti from 1 to 31 July 1996

(Thousands of United States dollars)

	(1) Revised cost estimates	(2) Apportionment	(3) Non-recurrent expenditures	(4) Recurrent expenditures	(5) Total expenditures (3+4)	(6) Unliquidated obligations	(7) Variance (2-5)
1. Military personnel costs							
(a) Military observers	—	—	—	—	—	—	—
(b) Military contingents							
Standard troop cost reimbursement	298.7	275.7	—	267.5	267.5	—	8.2
Welfare	2.1	6.4	—	1.9	1.9	—	4.5
Rations	75.4	71.5	—	71.5	71.5	—	—
Daily allowance	11.4	10.2	—	10.2	10.2	—	—
Mission subsistence allowance	22.5	18.6	—	18.6	18.6	—	—
Emplacement, rotation and repatriation of troops	11.3	11.6	—	12.1	12.1	—	(0.5)
Clothing equipment allowance	20.1	19.0	—	18.4	18.4	—	0.6
Subtotal	**441.5**	**413.0**	**—**	**400.2**	**400.2**	**—**	**12.8**
(c) Other costs pertaining to military personnel	—	—	—	—	—	—	—
Total, line 1	**441.5**	**413.0**	**—**	**400.2**	**400.2**	**—**	**12.8**
2. Civilian personnel costs							
(a) Civilian police	—	—	—	—	—	—	—
(b) International and local staff							
International staff salaries	33.0	31.4	—	—	—	—	31.4
Local staff salaries	20.4	20.0	—	26.9	26.9	—	(6.9)
Consultants	—	—	—	—	—	—	—
Overtime	—	—	—	—	—	—	—
General temporary assistance	—	—	—	—	—	—	—
Common staff costs	18.5	16.6	—	9.7	9.7	—	6.9
Mission subsistence allowance	21.6	25.2	—	20.9	20.9	—	4.3
Other travel costs	—	—	—	—	—	—	—
Subtotal	**93.5**	**93.2**	**—**	**57.5**	**57.5**	**—**	**35.7**
(c) International contractual personnel	—	—	—	—	—	—	—
(d) United Nations Volunteers	—	—	—	—	—	—	—
(e) Government-provided personnel	—	—	—	—	—	—	—
(f) Civilian electoral observers	—	—	—	—	—	—	—
Total, line 2	**93.5**	**93.2**	**—**	**57.5**	**57.5**	**—**	**35.7**

	(1) Revised cost estimates	(2) Apportionment	(3) Non-recurrent expenditures	(4) Recurrent expenditures	(5) Total expenditures (3+4)	(6) Unliquidated obligations	(7) Variance (2-5)
3. Premises/accommodation							
Rental of premises	30.5	20.7	—	20.7	20.7	—	—
Alteration and renovation of premises	—	—	—	—	—	—	—
Maintenance supplies	3.0	1.5	—	4.5	4.5	—	(3.0)
Maintenance services	3.0	0.5	—	6.4	6.4	—	(5.9)
Utilities	9.6	7.1	—	—	—	—	7.1
Construction/prefabricated buildings	—	—	—	—	—	—	—
Total, line 3	**46.1**	**29.8**	**—**	**31.6**	**31.6**	**—**	**(1.8)**
4. Infrastructure repairs	—	—	—	—	—	—	—
5. Transport operations							
Purchase of vehicles	—	—	—	—	—	—	—
Rental of vehicles	30.0	30.0	—	—	—	—	30.0
Workshop equipment	—	—	—	—	—	—	—
Spare parts, repairs and maintenance	—	—	—	—	—	—	—
Petrol, oil and lubricants	—	—	—	—	—	—	—
Vehicle insurance	—	—	—	—	—	—	—
Total, line 5	**30.0**	**30.0**	**—**	**—**	**—**	**—**	**30.0**
6. Air operations	—	—	—	—	—	—	—
7. Naval operations	—	—	—	—	—	—	—
8. Communications	—	—	—	—	—	—	—
9. Other equipment	—	—	—	—	—	—	—
10. Supplies and services	—	—	—	—	—	—	—
11. Election-related supplies and services	—	—	—	—	—	—	—
12. Public information programmes	—	—	—	—	—	—	—
13. Training programmes	—	—	—	—	—	—	—
14. Mine-clearing programmes	—	—	—	—	—	—	—
15. Assistance for disarmament and demobilization	—	—	—	—	—	—	—
16. Air and surface freight							
Transport of contingent-owned equipment	456.6	242.4	—	264.0	264.0	22.0	(21.6)
Military airlifts	—	—	—	—	—	—	—
Commercial freight and cartage	10.0	—	—	28.3	28.3	—	(28.3)
Total, line 16	**466.6**	**242.4**	**—**	**292.3**	**292.3**	**22.0**	**(49.9)**
17. United Nations Logistics Base, Brindisi	—	—	—	—	—	—	—
18. Support account for peacekeeping operations	377.4	377.4	—	377.4	377.4	—	—

	(1) Revised cost estimates	(2) Apportionment	(3) Non-recurrent expenditures	(4) Recurrent expenditures	(5) Total expenditures (3+4)	(6) Unliquidated obligations	(7) Variance (2-5)
19. Staff assessment							
Staff assessment, international staff	9.9	9.9	—	—	—	—	9.9
Staff assessment, local staff	4.9	1.4	—	—	—	—	1.4
Total, line 19	**14.8**	**11.3**	**—**	**—**	**—**	**—**	**11.3**
Total, lines 1-19	**1 469.9**	**1 197.1**	**—**	**1 159.0**	**1 159.0**	**22.0**	**38.1**
20. Income from staff assessment	(14.8)	(11.3)	—	—	—	—	(11.3)
21. Voluntary contributions in kind (budgeted)	—	—	—	—	—	—	—
Total, lines 20-21	**(14.8)**	**(11.3)**	**—**	**—**	**—**	**—**	**(11.3)**
Gross requirements	1 469.9	1 197.1	—	1 159.0	1 159.0	22.0	38.1
Net requirements	1 455.1	1 185.8	—	1 159.0	1 159.0	22.0	26.8
22. Voluntary contributions in kind (non-budgeted)	—	—	—	—	—	—	—
Total resources	**1 455.1**	**1 185.8**	**—**	**1 159.0**	**1 159.0**	**22.0**	**26.8**

Annex II

Financial performance report for the liquidation of UNMIH from 1 to 31 July 1996: supplementary information

A. Detailed variances in requirements and costs

Description	Estimate: Number of units	Estimate: Unit/daily/monthly/annual cost (United States dollars)	Actual: Number of units	Actual: Unit/daily/monthly/annual cost (United States dollars)	Explanation	Supplementary explanation (paragraph in section B)
1. Military personnel costs						
(a) Military observers	—	—	—	—	—	—
(b) Military contingents						
Standard troop cost reimbursement	284	275 700	263	267 500	Lower number of personnel deployed.	2
Welfare						
Total costs	—	6 400	—	1 900	*Idem.*	3
Rations						
Rations (in person/days and daily costs)	8 412	8.50	8 412	8.50	No change.	4
Daily allowance	—	10 200	—	10 200	*Idem.*	5
Mission subsistence allowance	—	18 600	—	18 600	*Idem.*	6
Emplacement, rotation and repatriation of troops	15	11 600	15	12 100	Higher cost of repatriation.	7
Clothing and equipment allowance	—	19 000	—	18 400	Lower number of personnel deployed.	8
(c) Other costs pertaining to military personnel	—	—	—	—	—	—
2. Civilian personnel costs						
(a) Civilian police	—	—	—	—	—	—
(b) International and local staff						
International staff salaries	8	31 400	8	—	Charged to UNSMIH budget.	11
Local staff salaries	28	20 000	28	26 900	Payment of accrued annual leave.	12
Consultants	—	—	—	—	—	—
Overtime	—	—	—	—	—	—
General temporary assistance	—	—	—	—	—	—
Common staff costs	—	16 600	—	9 700	Lower actual requirements.	13
Mission subsistence allowance	—	25 200	—	20 900	Lower actual cost.	14
Other travel costs	—	—	—	—	—	—

Description	Estimate: Number of units	Estimate: Unit/daily/monthly/annual cost (United States dollars)	Actual: Number of units	Actual: Unit/daily/monthly/annual cost (United States dollars)	Explanation	Supplementary explanation (paragraph in section B)
(c) International contractual personnel	—	—	—	—	—	—
(d) United Nations Volunteers	—	—	—	—	—	—
(e) Government-provided personnel	—	—	—	—	—	—
(f) Civilian electoral observers	—	—	—	—	—	—
3. Premises/accommodation						
Rental of premises	—	20 700	—	20 700	No change.	19
Alteration and renovation of premises	—	—	—	—	—	—
Maintenance supplies	—	1 500	—	4 500	Higher actual cost.	20
Maintenance services	—	500	—	6 400	*Idem.*	21
Utilities	—	7 100	—	—	Charged to UNSMIH budget.	22
Construction/prefabricated buildings	—	—	—	—	—	—
4. Infrastructure repairs	—	—	—	—	—	—
5. Transport operations						
Purchase of vehicles	—	—	—	—	—	—
Rental of vehicles	—	30 000	—	—	No expenditure incurred.	24
Workshop equipment	—	—	—	—	—	—
Spare parts, repairs and maintenance	—	—	—	—	—	—
Petrol, oil and lubricants	—	—	—	—	—	—
Vehicle insurance	—	—	—	—	—	—
6. Air operations	—	—	—	—	—	—
7. Naval operations	—	—	—	—	—	—
8. Communications	—	—	—	—	—	—
9. Other equipment	—	—	—	—	—	—
10. Supplies and services	—	—	—	—	—	—
11. Election-related supplies and services	—	—	—	—	—	—
12. Public information programmes	—	—	—	—	—	—
13. Training programmes	—	—	—	—	—	—
14. Mine-clearing programmes	—	—	—	—	—	—
15. Assistance for disarmament and demobilization	—	—	—	—	—	—
16. Air and surface freight						
Transport of contingent-owned equipment	—	242 400	—	264 000	Reflects charges of $22,000 relating to the prior period.	35
Military airlifts	—	—	—	—	—	—

Description	Estimate: Number of units	Estimate: Unit/daily/monthly/annual cost (United States dollars)	Actual: Number of units	Actual: Unit/daily/monthly/annual cost (United States dollars)	Explanation	Supplementary explanation (paragraph in section B)
Commercial freight and cartage	—	—	—	28 300	Higher actual cost incurred.	36
17. United Nations Logistics Base, Brindisi	—	—	—	—	—	—
18. Support account for peacekeeping operations	—	377 400	—	377 400	No change.	38
19. Staff assessment						
Staff assessment, international staff	—	9 900	—	—	Charged to UNSMIH budget.	39
Staff assessment, local staff	—	1 400	—	—	*Idem.*	39
20. Income from staff assessment	—	(11 300)	—	—	*Idem.*	40

B. Supplementary explanation

	Variance (United States dollars)
1. Military personnel costs	
(a) Military observers	—
1. No change.	
(b) Military contingents	12 800
2. *Standard troop cost reimbursement*. The unutilized balance of $8,200 resulted from the lower average strength of 263 troops compared with the budgeted strength of 284.	
3. *Welfare*. The unutilized balance of $4,500 resulted from the lower number of contingent personnel deployed during the liquidation period than was anticipated, as indicated in paragraph 1 above. This resulted in lower requirements for recreational leave allowance.	
4. *Rations*. No change.	
5. *Daily allowance*. No change.	
6. *Mission subsistence allowance*. No change.	
7. *Emplacement, rotation and repatriation of troops*. Additional requirements of $500 were due to the slightly higher cost of repatriation of the 15 staff officers than was anticipated. Provision had been made for the repatriation of 15 staff officers at an average cost of $773 per trip whereas the actual cost per trip for each of the 15 staff officers averaged $806. The repatriation of other contingent personnel was provided for in the prior reporting period.	
8. *Clothing and equipment allowance*. The unutilized balance of $600 was due to the lower number of contingent personnel deployed in the reporting period than was anticipated, as indicated in paragraph 1 above.	
(c) Other costs pertaining to military personnel	—
9. No change.	
2. Civilian personnel costs	
(a) Civilian police	—
10. No change.	
(b) *International and local staff*	35 700
11. *International staff salaries*. The unutilized balance of $31,400 resulted from the fact that salaries for the eight international civilian personnel who remained for the liquidation of the Mission were charged to UNSMIH rather than UNMIH. Therefore, no expenditure was recorded with regard to international staff salaries for the reporting period.	
12. *Local staff salaries*. Additional requirements of $6,900 resulted from the payment of accrued annual leave for local staff who were terminated at the end of the liquidation period.	

13. *Common staff costs*. The unutilized balance of $6,900 was due to the recording at expenditures for eight international staff against the UNSMIH accounts.

14. *Mission subsistence allowance*. There was an unutilized balance of $4,300 under this heading.

(c) International contractual personnel ..... —

15. No change.

(d) United Nations Volunteers ..... —

16. No change.

(e) Government-provided personnel ..... —

17. No change.

(f) Civilian electoral observers ..... —

18. No change.

3. Premises/accommodation ..... (1 800)

19. *Rental of premises*. No change.

20. *Maintenance supplies*. Additional requirements of $3,000 were due to higher actual cost for supplies.

21. *Maintenance services*. Additional requirements of $5,900 were due to higher expenditures incurred for maintenance services such as general maintenance, cleaning services, garbage collection, rodent extermination, cleaning of septic systems and the supply of non-potable water. These services were related to the closing down of one major camp and two small camps owing to the repatriation of troops.

22. *Utilities*.The unutilized balance of $7,100 was due to the expenditure being charged to UNSMIH rather than UNMIH.

4. Infrastructure repairs ..... —

23. No change.

5. Transport operations 30 000

24. *Rental of vehicles*. Provision had been made for the rental of one rough-terrain cargo handler for container transport, one 28-ton forklift and a 10-ton forklift for the closing of the base camp. However, no expenditures were incurred, resulting in an unutilized balance of $30,000 under this heading.

6. Air operations ..... —

25. No change.

7. Naval operations ..... —

26. No change.

8. Communications ..... —

27. No change.

9. Other equipment ..... —

28. No change.

10. Supplies and services ..... —

29. No change.

11. Election-related supplies and services ..... —

30. No change.

12. Public information programmes ..... —

31. No change.

13. Training programmes ..... —

32. No change.

14. Mine-clearing programmes ..... —

33. No change.

15. Assistance for disarmament and demobilization ..... —

34. No change.

16. Air and surface freight ..... (49 900)

35. *Transport of contingent-owned equipment.* Additional requirements resulted from the recording of $22,000 in expenditures related to the prior reporting period.

36. *Commercial freight and cartage.* Additional requirements of $28,300 resulted from expenses relating to the purchase of packing materials, boxes, crates and handling charges for shipment made from UNMIH during the repatriation of military contingent and international civilian personnel.

17. United Nations Logistics Base, Brindisi ..... —

37. No change.

18. Support account for peacekeeping operations ..... —

38. The full amount allocated was transferred to the support account for peacekeeping operations.

19. Staff assessment 11 300

39. The unutilized balance of $11,300 resulted from expenditures being charged to UNSMIH rather than UNMIH, as indicated in paragraph 11 above.

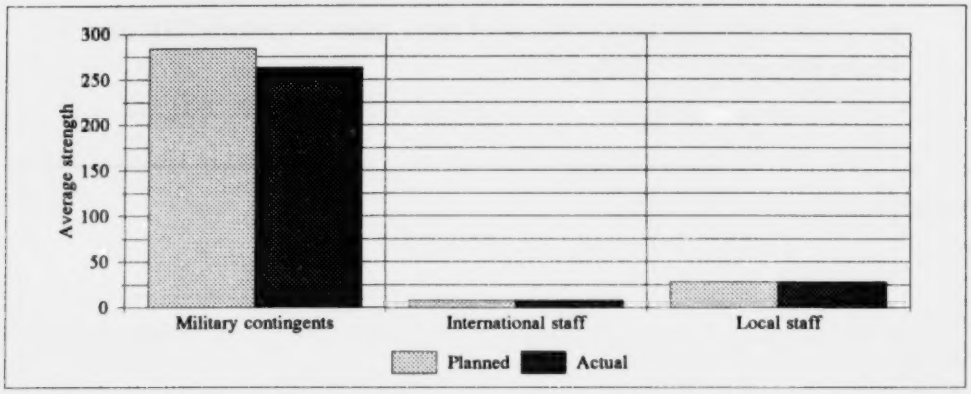
20. Income from staff assessment ..... (11 300)

40. This amount is derived from item 19 above.

Annex III

Planned and actual deployment of civilian and military personnel for the liquidation of the United Nations Mission in Haiti from 1 to 31 July 1996

	Average strength
Military contingents	
Planned	284
Actual	263
Difference	21
International staff	
Planned	8
Actual	8
Difference	—
Local staff	
Planned	28
Actual	28
Difference	—


300
250
200
150
100
50
0
Average strength
Military contingents
International staff
Local staff
Planned
Actual